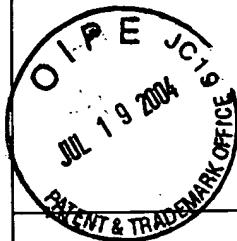


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98



PTO-1449 FORM

SHEET 1 OF 3

ATTORNEY DOCKET NO.:

R0395-00900

SERIAL NO.:

10/791,916

APPLICANTS:

Sharkey et al.

EXAMINER:

Not yet assigned

FILING DATE:

March 3, 2004

GROUP ART UNIT:

3764

U.S. PATENT DOCUMENTS

* EXR INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	A1	3,874,388	4/75	King et al.		
	A2	4,007,743	2/77	Blake		
	A3	4,619,246	10/86	Molgaard-Nielsen et al.		
	A4	4,832,055	5/89	Palestrant		
	A5	5,385,156	1/95	Oliva		
	A6	5,496,277	3/96	Termin et al.		
	A7	5,527,337	6/96	Stack et al.		
	A8	5,527,338	6/96	Purdy		
	A9	5,549,621	8/96	Bessler et al.		
	A10	5,634,936	6/97	Linden et al.		
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	A12	5,702,343	12/97	Alferness		
	A13	5,791,231	8/98	Cohn et al.		
	A14	5,797,849	8/98	Vesely et al.		
	A15	5,797,960	8/98	Stevens et al.		
	A16	5,829,447	11/98	Stevens et al.		
	A17	5,833,698	11/98	Hinchliffe et al.		
	A18	5,836,968	11/98	Simon et al.		
	A19	5,843,170	12/98	Ahn		
	A20	5,865,730	2/99	Fox et al.		
	A21	5,865,791	2/99	Whayne et al.		
	A22	5,871,017	2/99	Mayer		
	A23	5,875,782	3/99	Ferrari et al.		
	A24	5,876,325	3/99	Mizuno et al.		
	A25	5,876,449	3/99	Starck et al.		
	A26	5,879,366	3/99	Shaw et al.		
	A27	5,882,340	3/99	Yoon		
	A28	5,910,150	6/99	Saadat		
	A29	5,916,145	6/99	Chu et al.		
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	A31	5,925,076	7/99	Inoue		
	A32	5,928,260	7/99	Chin et al.		
	A33	5,961,440	10/99	Schweich, Jr. et al.		
	A34	5,961,539	10/99	Northup, III et al.		
	A35	5,984,917	11/99	Fleischman et al.		
	A36	6,024,096	2/00	Buckberg		
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	A38	6,045,497	4/00	Schweich et al.		
	A39	6,059,715	5/00	Schweich et al.		
	A40	6,076,013	6/00	Brennan et al.		
	A41	6,077,214	6/00	Mortier et al.		
	A42	6,077,218	6/00	Alferness		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98 PTO-1449 FORM SHEET 2 OF 3	ATTORNEY DOCKET NO.:	SERIAL NO.:
	R0395-00900	10/791,916
	APPLICANTS:	EXAMINER:
	Sharkey et al.	Not yet assigned
FILING DATE:	GROUP ART UNIT:	
March 3, 2004	3764	

U.S. PATENT DOCUMENTS CONT'D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

SHEET 3 OF 3

ATTORNEY DOCKET NO.:

R0395-00900

SERIAL NO.:

10/791,916

APPLICANTS:

Sharkey et al.

EXAMINER:

Not yet assigned

FILING DATE:

March 3, 2004

GROUP ART UNIT:

3764

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† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE (MO/YR)	COUNTRY	TRANSLATION (YES/NO)
	B1	WO 00/27292	5/2000	WIPO	
	B2	WO 01/78625 A1	10/2001	WIPO	
	B3	WO 2004/012629 A	02/2004	WIPO	
	B4	WO 03/007778 A	01/2003	WIPO	

OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	Document Description (including Author, Title, Date, Pertinent Pages, Etc.)
	C1	Tetsuji Kawata et al., "Systolic and Diastolic Function After Patch Reconstruction of Left Ventricular Aneurysms", Ann. Thorac. Surg. 59, pp. 403-407, 1995
	C2	Vincent Dor, "The Treatment of Refractory Ischemic Ventricular Tachycardia by Endoventricular Patch Plasty Reconstruction of the Left Ventricle", Seminars in Thoracic and Cardiovascular Surgery, Vol. 9, No. 2, pp. 146-155, April 1997.
	C3	Daniel Giorgio Di Mattia et al., "Surgical treatment of left ventricular post-infarction aneurysm with endoventriculoplasty: late clinical and functional results", European Journal of Cardio-thoracic Surgery 15, pp. 413-419, 1999.
	C4	T Katsumata et al., "An objective appraisal of partial left ventriculectomy for heart failure", Journal of Congestive Heart Failure and Circulator Support, pp. 97-106, 1999
	C5	Vincent Dor, "Surgery for left ventricular aneurysm", Current Opinion in Cardiology, Current Science, pp. 773-780, 1990.
	C6	Vincent Dor et al., "Ventricular remodeling in coronary artery disease", Current Opinion in Cardiology, Rapid Science Publishers, pp. 533-537, 1997.
	C7	AGA Medical Corporation, www.amplatzer.com/products , "The Muscular VSD Occluder" and "The Septal Occluder" device descriptions, 4/3/02.
	C8	Gore Medical, www.goremedical.com , "Helex Septal Occluder" product description, 4/3/02.

EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609.
 Line through citation if not in conformance and not considered.
Include copy of this form in next communication to applicant.

* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).